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September 14, 1995

VIA MESSENGER

William F. Caton Acting Secretary Federal Communications Commission 1919 M Street, N.W. Washington, D.C. 20554

Re: ET Docket No. 93-7

Notice of Ex Parte Communication

Dear Mr. Caton:

On Tuesday, September 12, representatives of Echelon Corporation met with William H. Johnson, Elizabeth W. Beaty, John Wong, Mike Perko and Ron Parver of the Commission's Cable Services Bureau and Robert M. Bromery, Chief, Allocations and Standards Division, Office of Engineering and Technology, to discuss the decoder interface proposals in ET Docket No. 93-7. Echelon was represented by Oliver R. Stanfield, Vice President & CFO, Robert A. Dolin, Chief Technical Officer (participating by conference call), and the undersigned counsel.

The subjects addressed during the meeting are reflected in Echelon's prior February 9, February 27, May 2, May 17, June 6 and September 6 ex parte filings in this docket, copies of which were provided to Mr. Perko. In addition, Echelon discussed a number of alternative protocols for communication between the television and set-back boxes under the IS-105 proposed standard other than CEBus (IS-60) or the CEBus CAL 60 language. The attached documents were distributed during the meeting.

Pursuant to Section 1.1206 of the Commission's Rules, two copies this letter are enclosed for filing. Please contact me should you have any questions in regard to this matter.

Sincerely,

Glenn B. Manishin

GBM:hs **Enclosures**

cc (w/encl): Bill Johnson

Libby Beaty, Esq.

Mike Perko

No. of Oction recid 042



Electronic Industries Association

Date:

8/11/95

To:

Attendees of Decoder Interface Meeting,

August 14, 15, 1995

Santa Rosa, CA

From:

Brian Markwalter

Subject:

Committee Ballot Results

Attached are comments that were received by the August 10, 1995 deadline for review of IS-105 Parts 1 and 2. On part 1 the votes were 9 in favor, 24 against, with one no comment and one maybe, giving a result of 37.5%. On part 2 the votes were 8 in favor, 23 against, with two no comments and one maybe, giving a result of 34.8%.



Electronic Industries Association

EIA/NCTA Joint Engineering Committee Meeting Notice Along with Decoder Interface tutorial, Subcommittees, and EIA R-4.6

HOTICE OF WIFE TIME Location: Embassy Suites Orlando North

> 225 E. Altamonte Drive Altamonte Springs, FL

Reservations: (407)-834-2400

Deadline for reserving hotel room is September 4, 1995!

Monday, September 18, 1995

8:30 AM to 12:00 PM CEBus Overview by Grayson Evans

12:00 PM to 1:00 PM Lunch on your own

CEBus Overview continued 1:00 PM to 5:00 PM

Tuesday, September 19, 1995

9:00 AM to 12:00 PM FSN Tour

12:00 PM to 1:00 PM Lunch sponsored by Time Warner Cable

1:00 PM to 3:00 PM Digital Standards Working Group

3:00 PM to 6:00 PM Decoder Interface Tutorial by Steve Appling

Wednesday, September 20, 1995

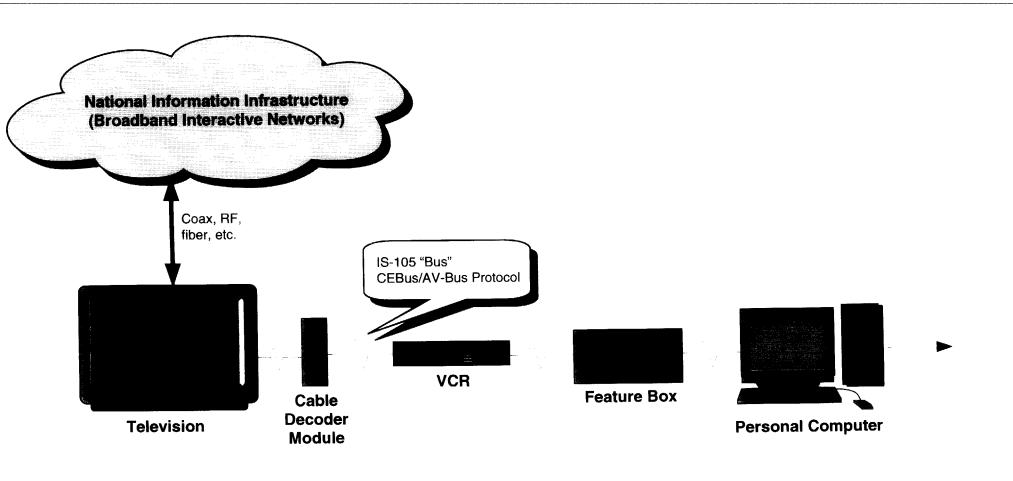
8:00 AM to 10:00 AM National Renewable Security System 10:00 AM to 12:00 PM Decoder Interface Subcommittee 12:00 PM to 1:00 PM Lunch sponsored by EIA and NCTA

1:00 PM to 2:00 PM Decoder Interface continued 2:00 PM to 3:00 PM Joint Engineering Committee 3:00 PM to 6:00 PM R-4.6 AVBus Committee

Meetings are hosted by Time Warner Cable and will include a tour of the Full Service Network (FSN) Network Operations Center (NEC) and an FSN demo in a residential setting. Tutorials by Grayson Evans and Steve Appling are sponsored by EIA and NCTA.

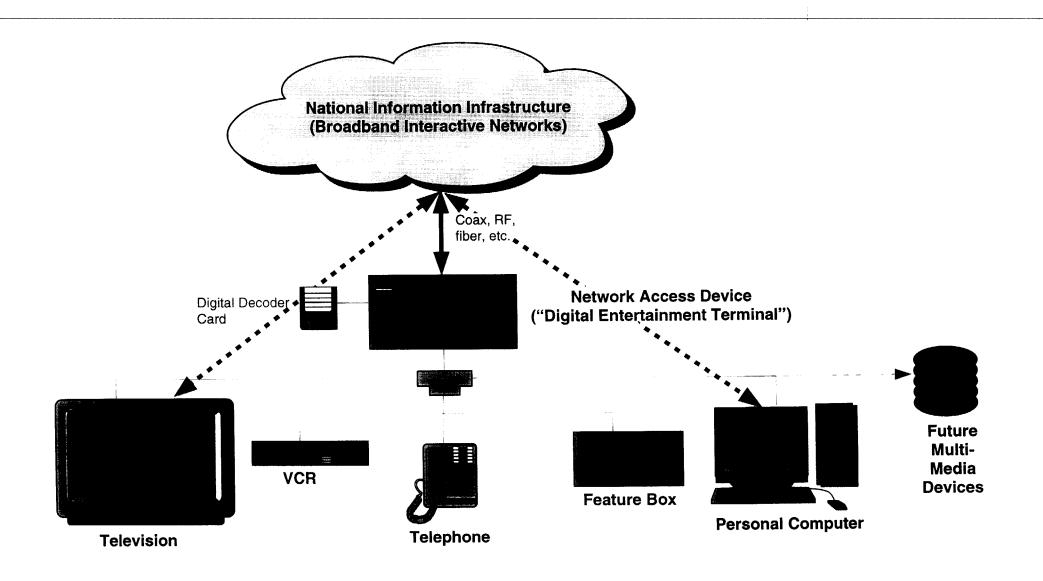
Meetings other than the FSN tour will be held at the Embassy Suites Orlando North in Altamonte Springs, Florida. A block of rooms has been reserved at a rate of \$97 for single or double occupancy until September 4, 1995. The rate includes breakfast, cocktail hour, and transportation to the FSN facilities. Reservations can be made by calling the hotel at (407) 834-2400. Please identify yourselves as part of the Time Warner Cable function.

Transportation to the hotel is available from Transtar for \$19 one-way or \$35 round-trip (see attached flyer), taxi (around \$50), or by rental car. Call (407) 856-7777 for Transtar reservations. Also see attached information sheet on the hotel, including directions from Orlando International Airport.



EIA's "Decoder Interface" Proposal

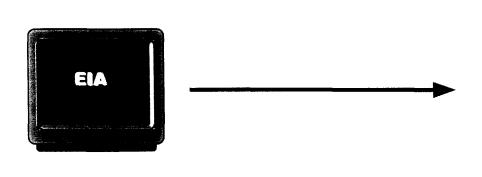
The TV—"Gatekeeper" for the Information Superhighway



Convergence of Computers and Communications

Competition + Technological Innovation = Interoperability

WHICH ELA SHOULD WE BELIEVE?





FCC Equipment Standards are *Bad* for Digital Television

"Mandatory [television] *receiver* standards are unnecessary. . . . On those few occasions when voluntary industry guidelines have been found to be desirable, the consumer electronics industry has responded accordingly, and EIA remains committed to mobilize the industry should the need for similar action arise in the context of HDTV. . . . [T]he Commission should continue to rely on the forces of supply and demand in the competitive consumer electronics marketplace."

Electronics Industries Associoation Filing, FCC Docket MM No. 87-268, May 5, 1995 (italics in original).

FCC Equipment Standards are *Good* for Cable Television

"Congress, in the 1992 Cable Act, required a 'cable ready' standard for televisions be developed. . . . Now, after two years of development, as the final details of technology for compatibility is [sic] within reach, an attempt to return the the era of incompatibility is being made."

EIA Letter to Senators, June 8, 1995.

time constant of the loop is controlled by R1+R2 When controlled by the decoder, the time constant is controlled by R1 (ignoring R3). Also, when AGC is controlled by the receiver, R2 will form a second time constant with C2 plus C3 plus cable capacitance.

- 6. The minimum time constant of the receiver delayed AGC loop is important to the designer of the decoder, as it has an influence on the stability of the loop
- 7. The decoder presents about nine volts when it is controlling the tuner and calling for maximum gain.

4.6. Digital Video

Support of Digital Video through the IF port and/or by some other means is under study. The result may be documented in this section or moved to a new section, as appropriate.

5. MULTI-PIN CONNECTION

5.1. Physical Specification

The multi-pin connection of the decoder interface carries baseband video and audio information from the decoder to the receiver in the form of balanced differential signals on twisted pair wiring. Additionally, the connection supports a bi-directional control line for control and status messaging between decoder and receiver. The multi-pin connection cable consists of ten individual twisted pairs to carry the control line, up to four audio lines, up to four video lines, and a common mode reference. The decoder interface requires support for a minimum of the control line, one video pair, one audio pair, and the common mode reference. Figure 8 depicts the multi-pin connection.

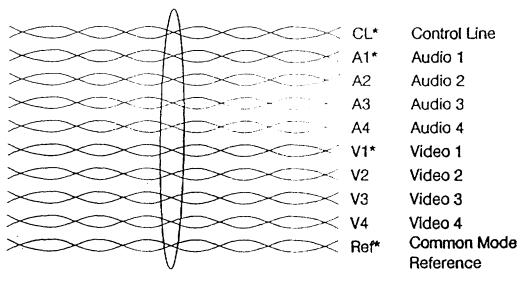


Figure 8. Multi-pin Connection Usage

5.1.1. Connector

The connector used for the multi-pin connection will be a 20 pin device using a positive mating snap-in lock mechanism. The connector will use 50 mil spacing leaf spring type contacts in two rows capable of repeated connection and disconnection. The physical outline and dimensions for

11

Revision: 4.5 Date: August 12, 1994



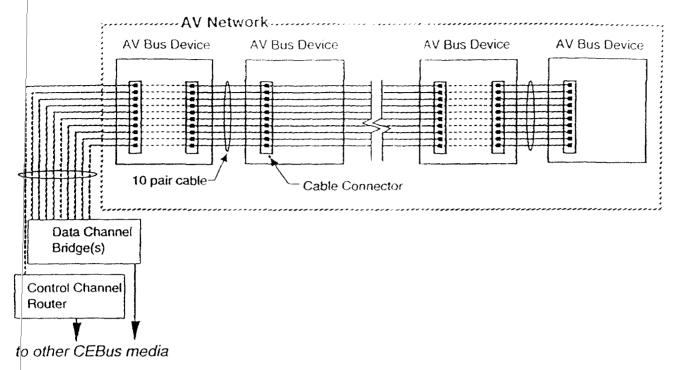


Figure 3.1 Basic CEBus Topology

The AV bus cable consists of ten individual twisted pairs to carry the control channel, four audio lines, and four video lines with one pair being used as a common mode reference (CMR) line. The cable is jacketed with a 20 conductor connector at each end. Figure 3.2 illustrates the construction and line naming of each pair of the cable.

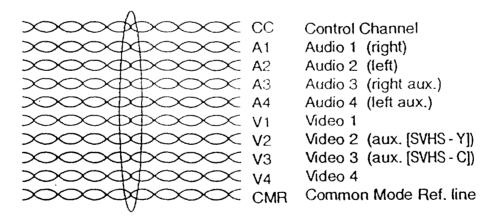


Figure 3.2 AV Bus Cable

The "Opt. Router" and "Data Bridge(s)" section shown in Figure 3.1 contains any optional control channel router and any data channel bridges for interconnection between AV networks and/or other CEBus media and is discussed in Section 6.

3.1.2 AV Bus Extensions

The optional extension of the AV bus, to include additional audio and video lines (in an additional cable), is under study. The additional lines would be under allocation control of the basic cable control channel requests. Any device which used the extension cable would be required to use the basic cable.

ET Docket No. 93-7 (Cable Equipment Compatibility Standards)

The August 15, 1994 proposal of the EIA/NCTA Cable Consumer Equipment Compatibility Advisory Group (C3AG) includes recommendations for a Decoder (descrambling) Interface that incorporates portions of a contested interim standard (CEBus® or EIA IS-60) for home automation. FCC adoption of the proposal would be unlawful, contrary to Commission procompetitive policies, and fundamentally inconsistent with technological innovation in the emerging home automation market by excluding or disadvantaging competing protocols. There is no technical need to use CEBus or any other protocol in the cable compatibility standards. "Minimal standardization" should be the watchword in computers, communications, information processing and other technologically dynamic US industries

Legal Scope of FCC Standardization Authority

- 1992 Cable Act (Section 17) limits FCC standardization authority to adopting specifications for cable programming functions (scrambling/descrambling) in order to resolve conflicts with features of televisions and VCRs.
- Cable Act directed FCC only to eliminate three specific incompatibilities preventing (1) watching one cable channel and recording another;
 (2) sequentially recording two or more scrambled channels; and (3) use of advanced TV equipment functions (picture-in-picture).
- Cable Act does not authorize FCC to adopt rules for general "interoperability" of AV equipment. May 4 Report & Order recognizes that Commission must separate cable security/access from other functions (menus, decompression, etc.) that should not be standardized in order to promote competition and innovation (¶¶ 29, 42, 143).

2. Alternative Technical Solutions

- C3AG proposal for control channel communications protocol is technically unnecessary and overly complex approach to simple engineering issue.
- Several different descrambler/converter architectures provide efficient, cost-effective solutions to 1992 Cable Act incompatibilities, without standardizing home automation or other non-programming functionalities.
- Information exchange needs between TV and "set-back" descrambler are limited to channel selection and other minimal data that can be supported in VBI bandwidth or low-level, competitively neutral protocol such as I²C.
- Modular approach would permit incorporation of descrambling/security functions into AV equipment, set-back boxes, or other devices in multiple configurations for different consumer needs, and allow retrofitting of large

TV installed base. In contrast, C3AG approach is completely incompatible with all current TVs in use, applying only to new "cable ready" televisions sold in 1997 or later.

- FCC should propose standard that governs physical interface only (e.g., RCA jack, RS-232, RJ-11) with minimal or no use of command/communications protocol. This would apply highly successful CPE model (telephone equipment) to video programming, using similar open architecture and unbundling principles, without constraining service features through protocol limitations.
- Analog compatibility solutions already exist in today's marketplace. The
 Commission can require this equipment to be made available to cable
 subscribers (see Report & Order ¶ 47), leaving digital compatibility—where
 the technical feasibility of modular security interfaces is undisputed—to the
 marketplace to resolve.

3. Exclusionary and Anticompetitive Effects

- C3AG proposal is attempt to have government mandate inclusion of one specific home automation technology into all "cable ready" AV equipment.
- Home automation is an emerging, competitively vibrant market. Premature standardization will stifle innovation and eliminate development of sophisticated, technically diverse solutions. "Minimal standardization" should be the watchword in computers, communications, information processing and other technologically dynamic US industries.
- Inclusion of a network protocol into decoder interface will either (a) create incompatibilities with other home automation protocols, or (b) require use of gateway protocol translators by competitors that are more costly, slower, and frequently interfere with network functionalities.
- Most likely approach to home automation is medium of existing electrical wiring (powerline). Under United States approach (Part 15), spread spectrum protocols like CEBus may control entire powerline, excluding other communications. CEBus technologies for powerline and RF media are proprietary and patented.
- Complex decoder interface architecture would position consumer electronics and/or cable industries as exclusive "gateway" to the home for communications of the future, competitively disadvantaging computer industry. Awarding architectural control of the information superhighway to the television set inappropriately restricts competition for the next generation of interactive telecommunications equipment.
- "Plug and play" AV interoperability will be resolved by marketplace forces, as in PC and stereo equipment markets, without governmental fiat.
 Mandatory government standards are far more exclusionary than

voluntary industry "consensus" standards, because the former would require a single technology and architecture for all "cable ready" TVs, VCRs and cable descramblers nationwide, freezing out future technical developments.

FCC standardization of home automation market would be a disaster—much as if government had standardized the personal computer industry in 1982, before Windows or Macintosh operating systems even existed!

4. Misinformation on Equipment Compatibility

• Claim: "A robust control channel is needed and appropriate for 'future' services in addition to the Cable Act's specific directives."

False. "Forward" compatibility with possible future AV services (video on demand, VDT, etc.) is not a proper scope of FCC standardization rules. Commission cable compatibility regulations will not *prevent* providers (AV, cable, computers, or others) from marketing any equipment for new video or information services.

Claim: "CEBus is a limited AV equipment protocol."

False. CEBus is not a special descrambling protocol, but "a home automation standard" still under development by EIA for "a wide spectrum of consumer products." (EIA 8/15/94 submission at p.8.) EIA's draft AV-Bus specification explicitly shows connections among AV devices and "other CEBus media" (powerline, RF), and also uses the CEBus messaging protocol for communication among devices in the "AV suite."

• Claim: "CEBus is not in the decoder interface (IS-105), but only a small subset of CEBus commands."

False. The IS-105 decoder interface messaging protocol is specifically defined as CEBus and uses IS-60's CAL language. See C3AG 8/15/94 submission at pp. 17, 20; EIA 8/15/94 submission at pp. 4, 8, Attach. 1 at 2, 3. Decoder interface language and command set are easily extensible into other devices and media (e.g., powerline) using spare microprocessor capacity.

 Claim: "No one is disadvantaged by the C3AG proposal or by inclusion of IS-60."

False. Positioning the television set as the "gateway" for all video information coming into the home will artificially disadvantage American computer industry in the still nascent market for information superhighway services. Incorporation of a network protocol into the decoder interface will exclude or seriously impede rival home automation technologies through requirement of complex and costly protocol converters.

Claim: "CEBus is not in EIA's new 'descrambling only' proposal."

False. EIA has proposed a "descrambling only" solution, but to date has only outlined general nature of proposal. Although it may have told the FCC to the contrary in *ex parte* communications, EIA confirms that its present plan is to include CEBus when formally submitting proposed descrambling only architecture to FCC.

• Claim: "The FCC has specifically required a control channel to be included in the compatibility standard."

False. The Commission merely directed that security and non-security features of set-top boxes be separated, in order to allow all non-security features to be provided competitively in the marketplace (Report & Order ¶ 42). The FCC said nothing about home automation or a control channel, let alone CEBus. Using this "unbundling" requirement as the basis for wrapping the CEBus home automation protocol into "cable-ready" televisions and VCRs stands the Commission's procompetitive decision on its head.